

02-05-02

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
IPS5019.01A

In Re Application Of: **MICHAEL J. HORGAN**

Serial No.  
09/839,745

Filing Date  
APRIL 19, 2001

Examiner

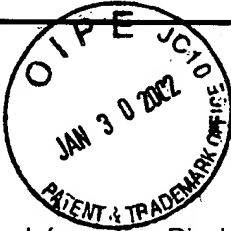
Group Art Unit  
2161

Title: **METHOD AND SYSTEM FOR UBIQUITOUS ENABLEMENT OF ELECTRONIC CURRENCY**

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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
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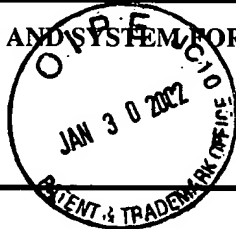
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**METHOD AND SYSTEM FOR UBIQUITOUS ENABLEMENT OF ELECTRONIC CURRENCY**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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Dated: **January 30, 2002**

Signature

**JOHN P. O'BANION, REG. NO. 33,201**  
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**400 CAPITOL MALL, SUITE 1550**  
**SACRAMENTO, CA 95814**  
**(916) 498-1010**

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Docket No.

**IPS5019.01A**

Serial No.

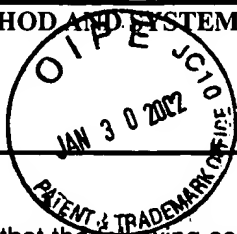
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Examiner

Group Art Unit

**2161**Invention: **METHOD AND SYSTEM FOR UBIQUITOUS ENABLEMENT OF ELECTRONIC CURRENCY**

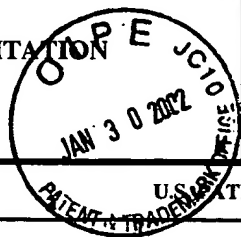
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# **INFORMATION DISCLOSURE CITATION** (Use several sheets if necessary)



Docket Number (Optional) <b>IPS5019.01A</b>	Application Number <b>09/839,745</b>
Applicant(s) <b>MICHAEL J. HORGAN</b>	
Filing Date <b>APRIL 19, 2001</b>	Group Art Unit <b>2161</b>

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,663,546	09/1977	Cucinotta et al.			
		5,777,305	07/1998	Smith et al.			

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## **FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 95/12169	10/1994	WIPO				
		WO 96/38801	06/1996	WIPO				
		2,305,393	08/1995	UK				
		2,338,814	06/1996	UK				
		666,549	02/1995	EP				

## **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

		International Search Report, International Application Number PCT/US01/12947, filing date 19/04/2001.

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **MICHAEL J. HORGAN**

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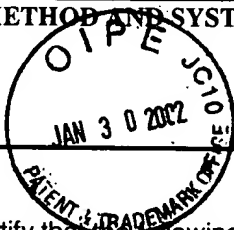
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